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PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 94732

U.S. APPLIC CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US04/23932 23/01/2006 23/07/2003 TITLE OF INVENTION EXTRACTING DATA FROM SEMI-STRUCTURED TEXT DOCUMENTS APPLICANT(S) FOR DO/EO/US Graf, James A. et. al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. x has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🗌 An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🗓 A preliminary amendment. An Application Data Sheet under 37 CFR 1,76. A substitute specification. A power of attorney and/or change of address letter. 17 I A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. L A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

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| U.S. APPLICA | TION NO. (if known $60/56$ | 5611 | PCT/US04/23932 | | ATTORNEY'S DOCKET NUMBER 94732 | | | | |
|---|---|--------------------|--|------------|--------------------------------|----------------|--|--|--|
| 20. Other | items or informati | on: | | | <u> </u> | | | | |
| Con | Copies of foreign and non-patent documents cited in the IDS | | | | | | | | |
| | age-paid retu | | | the IDS | | | | | |
| The foll | owing fees have b | een submitted | | | CALCULATIONS | PTO USE ONLY | | | |
| 21. 🗓 Bas | ic national fee (37 | CFR 1.492(a)) | | \$300 | \$ 300.00 | | | | |
| 22. 📉 Exar | nination fee (37 CF | FR 1.492(c)) | | | | - | | | |
| by IPEA | nion prepared by IS 'US indicates all cl ns | \$ 200.00 | | | | | | | |
| 23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | | \$ 100.00 | | | | |
| | TOTAL OF 21, 22 | 2 and 23 = | | | 600.00 | | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | |
| Total Sheets | Extra Sheets | | lumber of each additional 50 or fraction RATE thereof (round up to a whole number) | | · | | | | |
| - 100 = | /50 = | | | x \$250 | \$ | | | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | | \$. | | | | |
| CLAIMS | NUMB | ER FILED | NUMBER EXTRA | RATE | \$ | | | | |
| Total claims | 18 | - 20 = | 0 | × \$50 | \$ | | | | |
| Independent clai | ms 6 | -3= | 3 | x \$200 | \$ 600.00 | | | | |
| MULTIPLE DEP | ENDENT CLAIM(S | S) (if applicable) | | + \$360 | \$ | | | | |
| TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | \$ 1200.00 | - | | | |
| Applicant cla | inns sman entity s | Latus, See 37 CF7 | R 1.27. Fees above are reduc | | | | | | |
| Processing fee o | f \$130.00 for furni | shing the English | translation later than 30 month | SUBTOTAL = | \$ 600.00 | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(I)). | | | | | \$ | | | | |
| TOTAL NATIONAL FEE = | | | | | \$ 600.00 | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | \$: | | | | |
| TOTAL FEES ENCLOSED = | | | | | \$ 600.00 | | | | |
| | | | | | Amount to be refunded: | \$ | | | |
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| а. 🗌 | A check in the amount of \$ to cover the ab | bove fees is 4AF20 Rec'd PGT/FTO 23 JAN | 2006 | | | | | |
|--|--|--|------|--|--|--|--|--|
| b. 🗴 | Please charge my Deposit Account No. <u>500937</u> in the amount of A duplicate copy of this sheet is enclosed. | if \$ 600.00 to cover the above fees. | | | | | | |
| c. X | c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 500937. Aduplicate copy of this sheet is enclosed. | | | | | | | |
| d. 🗆 | d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | |
| SEND OF SEND O | tomer Number 28020 er Forrest ny, Plant, Mooty, Mooty & Bennett, PA Box 2906 nneapolis, MN 55402-0906 | SIGNATURE Peter Forrest NAME 33,235 REGISTRATION NUMBER | | | | | | |
| | | | | | | | | |



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23 JAN 2006

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January 23, 2006

VIA EXPRESS MAIL (LABEL #ED696273781US)

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Inventor: Graf, James A. et al. U.S. Application No.: unknown

International Application No: PCT/US04/23932

International Filing Date: 23 July, 2004

Title: EXTRACTING DATA FROM SEMI-STRUCTURED TEXT

DOCUMENTS

Attorney Docket No.: 94732

TRANSMITTAL LETTER

Dear Sir:

Enclosed for filing with the United States Patent and Trademark Office, please find:

- 1. Transmittal Letter, including mailing by Express Mail under 37 C.F.R. 1.10 (2 Sheets);
- Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) - Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390 – 4 Sheets);
- 3. Preliminary Amendment (7 Sheets);
- 4. Combined Declaration and Power of Attorney (7 Sheets);
- 5. Information Disclosure Statement by Applicant (1 Sheet);
- 6. Information Disclosure Statement by Applicant (Form PTP/SB/08A and 08B 2 Sheets);
- 7. Copies of foreign and non-patent references cited; and,
- 8. Postage Paid Postcard Itemizing Above Documents.

Please charge Deposit Account 500937 \$600.00 for all filing fees and any other additional fees required at this time.

Mail Stop PCT
Commissioner for Patents
Page 2
January 23, 2006

IAP20 Rec'd PCT/PTO 23 JAN 2006

If you have any questions, please contact me.

Peter Forrest

Very truly yours,

Registration No. 33,235 Attorney for Applicants

Certificate of Mailing by Express Mail under 37 C.F.R. 1.10

Express Mail label number: <u>ED696273781US</u>. Date of Deposit: January 23, 2006. I hereby certify that the following identified correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450: Transmittal Letter, including mailing by Express Mail under 37 C.F.R. 1.10 (2 Sheets); Transmittal Letter, including mailing by Express Mail under 37 C.F.R. 1.10 (2 Sheets); Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) — Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390 – 4 Sheets); Preliminary Amendment (7 Sheets); Combined Declaration and Power of Attorney (7 Sheets); Information Disclosure Statement by Applicant (1 Sheet); Information Disclosure Statement by Applicant (Form PTP/SB/08A and 08B – 2 Sheets); Copies of foreign and non-patent references cited; and, Postage Paid Postcard Itemizing Above Documents.

Peter Forrest

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